

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))

Attorney Docket No.	2767.2001-003
First Named Inventor or Application Identifier	Matthew B. Wall
Express Mail Label No.	EV 010679565 US

Title of Invention	Method and Apparatus for Providing Access Control for a Decentralized or Emergent Model on a Computer Network
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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

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| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 31]
(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to microfiche Appendix - Background of the Invention - Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
 <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal
 <input checked="" type="checkbox"/> Fig. of the Drawings for Publication [8b]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration/POA [Total Pages 3]</p> <p style="padding-left: 20px;">a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p style="padding-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
[NOTE Box 5 below]</p> <p style="padding-left: 40px;">i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. 1.63(d)(2)
and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)</p> <p style="padding-left: 20px;">a. <input type="checkbox"/> Computer Readable Copy</p> <p style="padding-left: 20px;">b. <input type="checkbox"/> Paper Copy (identical to computer copy)
[] Pages</p> <p style="padding-left: 20px;">c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
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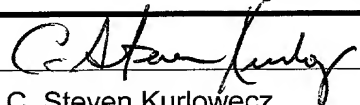
ACCOMPANYING APPLICATION PARTS

- | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <p>8. <input type="checkbox"/> Assignment Papers (cover sheet & documents)
<input checked="" type="checkbox"/> Assignee - Oculus Technologies Corporation
Boston, Massachusetts</p> <p>9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request (check parent application)</p> <p>17. <input type="checkbox"/> Other:</p> | |
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18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:
- Prior application information: Examiner: Group Art Unit:

19. CORRESPONDENCE ADDRESS

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Signature		Date	10/22/01
Submitted by Typed or Printed Name	C. Steven Kurlowecz	Reg. Number	46,846

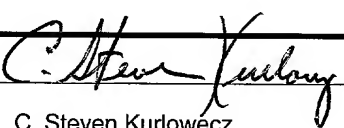
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	2767.2001-003		
	Application Number			
	First Named Inventor	Matthew B. Wall		

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>		20 - 20* =		x \$ 18 =	\$ 0
INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>		2 - 3** =		x \$ 84 =	\$ 0
MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small>				+ \$ 280 =	\$
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 740
				Total of above Calculations =	\$ 740
				Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =	\$
				TOTAL =	\$ 740
				Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =	\$
				Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =	\$
				Assignment Recordation Fee = <small>(only when filed with application)</small>	\$
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent				TOTAL =	\$ 740

1. Small entity status:
 - a. ☐ A small entity statement is enclosed.
 - b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
 - c. ☐ Is no longer claimed.
2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.
3. ☒ A check is enclosed for \$740.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.
4. ☐ Other: _____

Signature		Date	10/22/01
Submitted by Typed or Printed Name	C. Steven Kurlowecz	Reg. Number	46,846